PTO/SB21 (09-04)
Approved for use through 07/31/2006. OMS 0651-0031 •
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

APP TO A NO AUTTAL		Application Number	10/719,551	
TRANSMITTAL		Filing Date	November 20, 2003	
FORM		First Named Inventor	Darryl P. Klein	
		Art Unit	1754	
(to be used for all correspondence after initia	I filing)	Examiner Name	Elizabeth D. Wood	
Total Number of Pages in This Submission	37	Attorney Docket Number	W-9652-01	

	ENCLOSURES (Check all that apply)									
	Fee Trans	mittal Fom	n (2x*)		Drawi			<u> </u>	After A	Allowance Communication to TC
		ee Attache				sing-related Pap	ers			al Communication to Board peals and Interferences
	Extension	eclarations) Request (2x *) nent Request ure Statement	Petition Petition to Convert to a Provisional Application Power of Attomey, Revocation Change of Correspondence Add Terminal Disclaimer Request for Refund CID, Number of CD(s) Landscape Table on CID			n evocation dence Address	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identif below): Form 1449, PCT International Sea Report, Cited Reference, and Return Receipt Post Card.			
* 2x = Di	Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53									
Firm N	lame	I	SIGNA	IUKE	OF A	PPLICANT,	ATTORNEY,	OK A	GENT	
		W R G	race & CoCor	nn						
Signat	ure		Solunton	- 11	115	1				
Printe	d name	Robert	A. Maggio		8					
Date				Reg. No.				28,032		
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with a										
	sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:									
Signat	ure		angela	M	· Po	novic	di			
Typed or printed name Angela M. Porrovicchio September 8, 2005							September 8, 2005			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



SEP 1 2 2003 &	<u>I</u>	N THE U	NITED	STATES P	ATE	NT AND TRADEMARK OFFICE
A LANGE						
In re A	application	of: Darry	yl P. Klei	n)	Before the Examiner: Elizabeth D. Wood
Serial	No.: 10/7	19,551)	Group Art Unit: 1754
Filed:	Novembe	er 20, 2003	3		į	
	lydroconv ds of Mak)	
P.O. B	nissioner fo ox 1450 ndria, VA					
Sir:						
		<u>CE</u>	RTIFIC	ATE OF M	AIL	ING UNDER 37 C.F.R. §1.8
	corresp indicate	ondence ved as first	vill be de class ma	eposited wit	h the	y that I have a reasonable basis to expect that this United States Postal Service on or before the date addressed to: Commissioner of Patents, P.O. Box
		9/	8/05 Date	e		Angela M. Pouovicchi Signature
						STATEMENT TRANSMITTAL DERATION UNDER 37 CFR §1.97
I.	Enclose	ed is:				
	a.	\boxtimes		tion Discloses where in		statement Form No. PTO-1449 and copies of ed.
	b.			the Europe		earch Report dated on European which is:
						ounterpart of the above-identified U.S. application ental ancestors, i.e. USSN, filed
				The Europ	ean c	ounterpart of related USSN, filed
	c.	\boxtimes				Report dated April 6, 2005 on PCT Application 9 which is:
09/14/2005 MBELETE1 00000035 01 FC:1806 180.00 DA	071770	10719551	<u>'</u>			erpart of the above-identified U.S. application or tal ancestors, i.e. USSN, filed

		⊠	The PCT counterpart of related USSN <u>10/742,066</u> , filed <u>December 19, 2003</u> .							
d.			Copy of the Search Report dated on the corresponding counterpart of the above-identified U.S. application. (country)							
e.		Explan	atory comments attached hereto as Appendix 1.							
f.	\boxtimes	COPIE	ES_(check at least one box)							
			A legible copy of (i) each U.S.* of foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed. (*Copies Of US Patents do not have to be provided for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003).							
			Some or all of the documents listed on the PTO1449 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.							
g.	\boxtimes	Concise Explanation Of Relevance (check at least one box)								
			Documents Not In The English Language A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:							
			A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as attached.							
		\boxtimes	Documents In The English Language All of the patents, publications or other information are in the English language. No statement of relevancy is required.							
			English Language Search Report An English language version of the search report or action which indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).							
			Other The following additional information is provided for the Examiner's consideration.							
	The Ex	xaminer ation Disc	is requested to consider the references cited in the attached closure Statement without a fee or certification because it was filed:							

II.

			a continued prosecution application under 1.53(d);
			within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
			before the mailing date of a first Office Action on the merits; or
			before the mailing of a first Office Action after filing of a Request for Continued Examination under §1.114.
III.	\boxtimes	pursua	naminer is requested to consider the attached Information Disclosure Statement not to 37 CFR §1.97(c) in that it is being filed after the periods specified in aph II above, but before the first to occur of the mailing date of either:
			Final Action under 37 CFR §1.113; or
			Notice of Allowance under 37 CFR §1.311; or
			An action that otherwise closes prosecution in the application;
		and in	support of such request the undersigned either:
			has made the certification hereinbelow at Paragraph IVB pursuant to 37 CFR §1.97(e); or
		\boxtimes	is paying a petition fee under 37 CFR §1.17(p) in the amount of \$180.00.
IVA.		pursuai	naminer is requested to consider the attached Information Disclosure Statement nt to 37 CFR §1.97(d) in that it is being filed before the payment of the Base see and after the mailing date of any:
		(1)	Final Action under 37 CFR §1.113;
		(2)	Notice of Allowance under 37 CFR §1.311; or
		(3)	An action that otherwise closes prosecution in the application.
		and in	support of said request the undersigned:
		(i)	has made the certification hereinbelow at Paragraph IVB pursuant to 37 CFR §197(e); and
		(ii)	is paying a petition fee under 37 CFR §1.17 (P) in the amount of \$180.00.
IVB.			der 37 CFR §1.97(e) aly III or IV above]
			The undersigned hereby certifies that for each item of information contained in the Information Disclosure Statement it was either:
			(1) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or

(2) not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned upon making reasonable inquiry, was not known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. V. The Examiner is requested to make the art of record in the following parental U.S. applications relied upon for an earlier filing date under 35 USC §120, of record in the present above-identified application. U. S. Serial No. **Filing Date** VI. Fee Payment Petition fee under 37 CFR §1.17(p) to consider Information Disclosure Statement under 37 CFR §1.97(c) and (d) (fee code 1806) is \$180.00. \boxtimes Charge \$180.00 to Deposit Account No. 07-1770. \boxtimes The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.16 and §1.17 which may be required by this paper, or credit any overpayment, to Deposit Account No. 07-1770. A duplicate copy of this Form is enclosed. A check in the amount of the fee is enclosed No fee due. Attorney or Agent Robert A. Maggio - Reg. No.: 28,032 Telephone: (410) 531-4508

Post Office Address (to which correspondence is to be sent): W. R. Grace & Co.-Conn.

Patent Dept. 7500 Grace Drive

Columbia, MD 21044-4098

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

SERIAL NO

Page 1 of 2

SEINAL

10/719,551



APPLICANT

W9652-01

Darryl P. Klein

FILING DATE

GROUP

11/20/2003

1754

U.S. PATENT DOCUMENTS

EXAMINER INITIAL*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	Copy Submitted			
	3755147	8/28/1973	Michelson, et.al.	208	112	05/28/1971				
	3755196	8/28/1973	Mickelson, et al.	252	435	04/01/1971				
	4202798	5/13/1980	Johnson, et. al.	252	437	10/30/1978				
	4520128	5/28/1985	Morales, et al.	502	210	12/19/1983				
	4588706	5/13/1986	Kukes, et al.	502	211	06/24/1985				
	4940533	7/10/1990	Simpson, et al.	208	216	09/29/1989				
	6589908	7/8/2003	Ginestra, et al.	502	323	11/28/2000				

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-14	U.S. DEPART PATENT AND INFORMA	MENT OF COM TRADEMARK TION DISCLOSENT BY APPLIC	OFFICE URE	Page ⊋ of _ ATTY. DOCKET NO. SERIAL NO: W9652-01 10/719,551							
Several sheets if necessary)					APPLICANT Darryl P. Klein						
PARTE	SEP 1 2 2005			FILING (GROUP 1754				
FOREIGN PATENT DOCUMENTS											
EXAMINER INITIAL* DOCUMENT NUMBER DATE COUNTRY					SUBCLASS	TRANS SUBMITTED	Copy Submitted	ENG LANG EQUIV			
	0 266 008 4/4/1990 EP		B01J	27/188	No		Yes				
	0482817	12/20/1995	EP	C10G	45/08	No		Yes			
	WO 9634062	10/31/1996	PCT	C09C	1/30	No		Yes			

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.